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         SEP 09
NEWS
      3
                 present
                 INPADOC: Legal Status data reloaded
         DEC 08
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                 DISSABS now available on STN
NEWS
      5
         SEP 29
         OCT 10
                 PCTFULL: Two new display fields added
NEWS
      6
                 BIOSIS file reloaded and enhanced
         OCT 21
NEWS
      7
                 BIOSIS file segment of TOXCENTER reloaded and enhanced
        OCT 28
NEWS
      8
                 MSDS-CCOHS file reloaded
         NOV 24
     9
NEWS
         DEC 08
                 CABA reloaded with left truncation
NEWS 10
         DEC 08
                 IMS file names changed
NEWS 11
         DEC 09
                 Experimental property data collected by CAS now available
NEWS 12
                 in REGISTRY
                 STN Entry Date available for display in REGISTRY and CA/CAplus
         DEC 09
NEWS 13
         DEC 17
                 DGENE: Two new display fields added
NEWS 14
                 BIOTECHNO no longer updated
         DEC 18
NEWS 15
                 CROPU no longer updated; subscriber discount no longer
         DEC 19
NEWS 16
                 available
                 Additional INPI reactions and pre-1907 documents added to CAS
         DEC 22
NEWS 17
                 databases
                 IFIPAT/IFIUDB/IFICDB reloaded with new data and search fields
         DEC 22
NEWS 18
                 ABI-INFORM now available on STN
NEWS 19
         DEC 22
                 Source of Registration (SR) information in REGISTRY updated
NEWS 20
         JAN 27
                 and searchable
                 A new search aid, the Company Name Thesaurus, available in
         JAN 27
NEWS 21
                 CA/CAplus
                 German (DE) application and patent publication number format
NEWS 22
         FEB 05
                 changes
              DECEMBER 28 CURRENT WINDOWS VERSION IS V7.00, CURRENT
NEWS EXPRESS
              MACINTOSH VERSION IS V6.0b(ENG) AND V6.0Jb(JP),
              AND CURRENT DISCOVER FILE IS DATED 23 SEPTEMBER 2003
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FULL ESTIMATED COST

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STRUCTURE FILE UPDATES: 18 FEB 2004 HIGHEST RN 651705-73-6 DICTIONARY FILE UPDATES: 18 FEB 2004 HIGHEST RN 651705-73-6

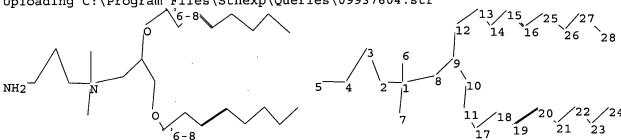
TSCA INFORMATION NOW CURRENT THROUGH JULY 14, 2003

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Crossover limits have been increased. See HELP CROSSOVER for details.

Experimental and calculated property data are now available. For more information enter HELP PROP at an arrow prompt in the file or refer to the file summary sheet on the web at: http://www.cas.org/ONLINE/DBSS/registryss.html

Uploading C:\Program Files\Stnexp\Queries\09937604.str



chain nodes : 21 22 23 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 2.0 25 26 27 28 chain bonds : 9-12 10-11 11-17 12-13 13-14 1-2 1-6 1-7 1-8 2-3 3-4 4-5 8-9 9-10 19-20 20-21 21-22 22-23 23-24 25-26 26-27 14-15 15-16 16-25 17-18 18-19 27-28 exact/norm bonds : 4-5 9-12 10-11 11-17 12-13 1-2 1-6 1-7 1-8 exact bonds : 15-16 16-25 17-18 18-19 19-20 20-21 21-22 14-15 2-3 3-4 8-9 9-10 13-14 22-23 23-24 25-26 26-27 27-28

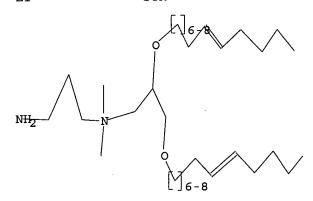
Match level :

1:CLASS 2:CLASS 3:CLASS 4:CLASS 5:CLASS 6:CLASS 7:CLASS 8:CLASS 9:CLASS 10:CLASS 11:CLASS 12:CLASS 13:CLASS 14:CLASS 15:CLASS 16:CLASS 17:CLASS 18:CLASS 19:CLASS 20:CLASS 21:CLASS 22:CLASS 23:CLASS 24:CLASS 25:CLASS 26:CLASS 27:CLASS 28:CLASS

=> d query

L1

STR



Structure attributes must be viewed using STN Express query preparation.

=> s l1

SAMPLE SEARCH INITIATED 17:36:47 FILE 'REGISTRY'
SAMPLE SCREEN SEARCH COMPLETED - 2 TO ITERATE

100.0% PROCESSED

2 ITERATIONS

0 ANSWERS

SEARCH TIME: 00.00.01

FULL FILE PROJECTIONS: ONLINE **COMPLETE**

BATCH **COMPLETE**

PROJECTED ITERATIONS:

2 TO 124

PROJECTED ANSWERS:

0 TO

L2

L3

0 SEA SSS SAM L1

4 SEA SSS FUL L1

=> s l1 full

FULL SEARCH INITIATED 17:36:51 FILE 'REGISTRY'
FULL SCREEN SEARCH COMPLETED - 28 TO ITERATE

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28 ITERATIONS

4 ANSWERS

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FILE COVERS 1907 - 19 Feb 2004 VOL 140 ISS 8 FILE LAST UPDATED: 18 Feb 2004 (20040218/ED)

This file contains CAS Registry Numbers for easy and accurate substance identification.

=> s 13

L4 6 L3

=> d l4 1-6 abs ibib hitstr

```
L4 ANSWER 1 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN

AB Vaxfectin, a recently developed adjuvant, was evaluated for its enhancing effect on immunogenicity of a Japanese encephalitis (JE) DNA vaccine plasmid encoding the JE virus premembrane (prM) and envelope (E) genes (designated pcJEME), using BALB/c and ICR mice. Formulation of pcJEME with Vaxfectin provided 28-fold higher neutralizing antibody titers than those induced by pcJEME alone and reduced the amount of pcJEME to one-tenth to induce comparable levels of neutralizing antibody. Use of Vaxfectin did not alter a Thi type Ig6 isotype immune response (Ig61 < Ig62a) induced by pcJEME in mice. Thus, Vaxfectin has an ability to enhance immunogenicity of pcJEME and is considered as a useful adjuvant for DNA vaccines in murine exptl. models.

ACCESSION NUMBER: 2003:469912 CAPLUS
DCCUMENT NUMBER: 139:178416

TITLE: Enhancing Effect of Vaxfectin on the Ability of a Japanese Encephalitis DNA Vaccine to Induce Neutralizing Antibody in Mice

AUTHOR(S): Nukuguna, Chiyoko: Ajiro, Nacko: Wheeler, Carl J.; Konishi, Eiji

CORPORATE SOURCE: Department of Health Sciences, Kobe University School of Medicine, Kobe, Japan

Viral Immunology (2003), 16(2), 183-189

CODEN: VIIHET: ISSN: 0862-8245

Mary Ann Liebert, Inc.

DOCUMENT TYPE: Journal

LANGUAGE: Mary Ann Liebert, Inc.

DOCUMENT TYPE: Journal

RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(Vaxfectin adjuvant enhancement of Japanese encephalitis virus DNA vaccine induction of neutralizing antibodies in mouse model)

RN 370108-99-9 CAPLUS

CN 1-Propanaminium, N-(3-aminopropyl)-N,N-dimethyl-2,3-bis(92)-9-tetradecenyloxyl-, bromide, mixt. with (1R)-1-[[(12-aminoethoxyl))40/doxyphosphinyl)oxyl perthanelyl

bis(3,7,11,15-tetramethylhexadecanoate) (1:1) (9CI) (CA INDEX NAME)
                                         CRN 370108-98-8
CMF C36 H73 N2 O2 . Br
        Double bond geometry as shown
                                                                                                              (CH<sub>2</sub>)<sub>8</sub>
                                                                                                                                                                                                                               (CH2)3
                                     ANSWER 2 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN Mice were vaccinated with plasmid DNA (pDNA) encoding antigen 85A
                                         5A),
Ag$5B, or PstS-3 from Mycobacterium tuberculosis either in saline or
formulated for i.m. injections in VCl052:DPyPE (aminopropyl-dimethyl-
     rormulated for 1.m. Injections in volto2:Dryrs (aminopropyl-dimethyl-
myristoleploxy-propanaminium
bromide-diphytanoylphosphatidyl-ethanolamine)
(Vaxfectin: Vical, Inc., San Diego, Calif.) or for intranasal
instillations in GRP-DLRIE:DOPE (aminopropyl-dimethyl-bis-dodecyloxy-
propanaminium bromide-dioleoylphosphatidyl-ethanolamine). These two
   novel
cationic and neutral colipid formulations were previously reported to be
effective adjuvants for pDNA-induced antibody responses. The levels of
Ag85-specific total 1g6 (1g6) and 1g6 isotypes were all increased 3- to
10-fold by formulation of pDNA in Vaxfectin. The level of production of
splenic T-cell-derived Thi-type cytokines (interleukin-2 and gamma
interferon) in response to purified Ag85 and to synthetic peptides
spanning the entire Ag85A protein was also significantly higher in
animals
                                     spanning the entire Ag85A protein was also significantly higher in als vaccinated with pDNA formulated in Vaxfectin. Cytolytic T-lymphocyte responses generated by pDNA encoding phosphate-binding protein Pats-3 in Vaxfectin were better sustained over time than were those generated by PStS-3 DNA in saline. Intranssal immunization with Ag85A DNA in saline was completely ineffective, whereas administration in GAP-DLRIE: DOPE induced a pos. Thi-type cytokine response; however, the extent of the latter response was clearly lower than that obtained following i.m. immunization with the same DNA dose. Combined i.m. and intranssal administrations in cationic lipids resulted in stronger immune responses in the spleen and, more importantly, in the lungs as well. Finally, formulation in Vaxfectin increased the protective efficacy of the Ag85B DNA vaccine, as measured by reduced relative light unit counts and CFU counts in the spleen and lungs from mice challenged with bioluminescent
      M. tuberculosis H37RV. These results may be of importance for future clin. use of DNA vaccines in humans.

ACCESSION NUMBER: 2002:489711 CAPLUS
DOCUMENT NUMBER: 138:226501
                                                                                                                                                                             138:226501
Improved tuberculosis DNA vaccines by formulation in cationic lipids
DNA vaccines by formulation in cationic lipids
Smet, N.; Denis, O.; Tanghe, A.; De Smet, N.; Jurion, F.; Palfliet, K.; Castiglioni, N.; Vanonckelen, A.; Wheeler, C.; Huygen, K.
Mycobacterial Immunology Pasteur Institute of Brussels, Brussels, Bil80, Belg.
Infection and Immunity (2002), 70(7), 3681-3688
CODEN: INFIBR; ISSN: 0015-9567
American Society for Microbiology
Journal
English
in
        DOCUMENT NUMBER:
TITLE:
        AUTHOR (S):
        CORPORATE SOURCE:
```

DOCUMENT TYPE: Journal
LANGUAGE: English

IT 370108-99-9, Vaxfectin
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)
(tuberculosis DNA vaccines formulated in cationic lipids)

RN 370108-99-9 CAPLUS

CN 1-Propanaminium, N-(3-aminopropyl)-N,N-dimethyl-2,3-bis[(9Z)-9-tetradecenyloxy]-, bromide, mixt. with (1R)-1-[([(2-aminoethoxyl)ydroxyphosphinyl)oxy]methyl)-1,2-ethanediyl
bis(3,7,11,15-tetramethylhexadecanoate) (1:1) (9CI) (CA INDEX NAME)

SOURCE: PUBLISHER: DOCUMENT TYPE:

> CM 1 CRN 370108-98-8

(CH2) 8 (CH₂)3 NH₂ Br CM 2 CRN 201036-16-0 CMF C45 H90 N O8 P Absolute stereochemistry. PAGE 1-A (CH₂)₃ (CH₂)₃ PAGE 1-B THERE ARE 43 CITED REFERENCES AVAILABLE FOR REFERENCE COUNT: 43 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L4 ANSWER 1 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN

33

ANSWER 2 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN CMF C36 H73 N2 O2 . Br $\,$

Double bond geometry as shown.

но

CRN 201036-16-0 CMF C45 H90 N O8 P Absolute stereochemistry

(CH2)3

(CH₂) ر

REFERENCE COUNT:

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FORMAT

Me₂CH

(Continued)

PAGE 1-A

PAGE 1-B

(Continued)

THERE ARE 33 CITED REFERENCES AVAILABLE FOR

RECORD. ALL CITATIONS AVAILABLE IN THE RE

```
ANSWER 3 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN
The large number of cytofectin and co-lipid combinations currently used
 L4
AB
for lipoplex-mediated gene delivery reflects the fact that the optimal cytofectin/co-lipid combination varies with the application. The effects of structural changes in both cytofectin and co-lipid were systematically examined to identify structure-activity relationships. Specifically, alkyl
             chain length, degree of unsatn. and the head group to which the alkyl
 side
             chain was attached were examined to determine their effect on lipoplex
structure
             and biol. activity. The macroscopic lipoplex structure was assessed
using
a dye-binding assay and the biol. activity was examined using in vitro
transfection in three diverse cell lines. Lipoplexes were formulated in
three different vehicles currently in use for in vivo delivery of naked
plasmid DNA (pbNA) and lipoplex formulations. The changes in dye
accessibility were consistent with atructural changes in the lipoplex,
which correlated with alterations in the formulation. In contrast,
transfection activity of different lipoplexes was cell type and vehicle
dependent and did not correlate with dye accessibility. Overall, the
results show a correlation between transfection and enhanced membrane
fluidity in both the lipoplex and cellular membranes.
ACCESSION NUMBER: 2002:325272 CAPLUS
DOCUMENT NUMBER: 138:61130
 DOCUMENT NUMBER:
                                                                  138:61130
                                                                  Synergy between cationic lipid and co-lipid
 determines
                                                                  the macroscopic structure and transfection activity
 of
                                                                  lipoplexes
Ferrari, Marilyn E.; Rusalov, Denis; Enas, Joel;
Wheeler, Carl J.
Department of Chemistry, Vical Incorporated, San
Diego, CA, 92121, USA
Nucleic Acids Research (2002), 30(8), 1808-1816
CODEN: NARRAD; ISSN: 0305-1048
OXford University Press
AUTHOR (5):
CORPORATE SOURCE:
SOURCE:
 PUBLISHER:
             MENT TYPE: Journal
JAGE: English
370108-98-8P 479200-95-8P
  DOCUMENT TYPE:
LANGUAGE:
             370108-98-8P 479200-95-8P
RL: PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use);
BIOL (Biological study); PREP (Preparation); USES (Uses)
(synercy between cationic lipid and co-lipid dets. the macroscopic structure and transfection activity of lipoplexes)
370108-98-8 CAPLUS
             370108-98-8 CAPLUS
1-Propanaminium, N-(3-aminopropyl)-N,N-dimethyl-2,3-bis[(9Z)-9-tetradecenyloxy)-, bromide (9CI) (CA INDEX NAME)
 Double bond geometry as shown.
```

ANSWER 4 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN

AB Antigen specific immune responses were characterized after i.m. immunization of BALB/c mice with 5 antigen encoding plasmid DNAs (pDNAs) complexed with Vaxfectin, a cationic lipid formulation. Vaxfectin increased IgG titers for all of the antigens with no effect on the CTL responses to the 2 antigens for which CTL assays were performed. Both antigen specific IgG1 and IgG2a were increased, although IgG2a remained greater than IgG1. Furthermore, Vaxfectin had no effect on IFN-y or IL-4 production by splenocytes re-stimulated with antigen, suggesting that the action of the complex studies with IL-6-/- mice suggest that the antibody enhancement is IL-6 dependent and results in a correlative increase in antigen specific antibody secreting cells.

ACCESSION NUMBER: 2001:409275 CAPLUS DOCUMENT NUMBER: 136:198465

TITLE: Vaxfectin enhances antigen specific antibody titers and maintains Thi type immune responses to plasmid DNA and maintains Th1 type immune responses to plasmid immunitation

AUTHOR(S): Reyes, L.; Hartikka, J.; Bozoukova, V.; Sukhu, L.; Nishioka, W.; Singh, G.; Ferrari, M.; Enas, J.; Wheeler, C. J.; Manthorpe, M.; Wloch, M. K.

CORPORATE SOURCE: Department of Cell Biology, Vical Incorporated, San Diego, CA, 92121, USA

SOURCE: Vaccine (2001, 19(27), 3778-3786

CODEN: VACCDE; ISSN: 0264-410X

PUBLISHER: Elsevier Science Ltd.

DOCUMENT TYPE: Journal

IANGUAGE: English.

IT 370108-99-9, Vaxfectin

RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(Vaxfectin enhanced antigen-specific antibody titers maintaining Th1 type immune responses to plasmid DNA vaccines)

RN 370108-99-9 CAPULS

CN 1-Propanaminum, N-(3-aminopropyl)-N, N-dimethyl-2, 3-bis(92)-9-tetradecenyloxyl-, bromide, mixt. with (IR)-1-[[(12-aminoethoxyl)ydroxyphosphinyl]oxylmethyl]-1,2-ethanediyl bis(3,7,11,15-tetramethylhexadecanoate) (1:1) (9CI) (CA INDEX NAME)

CRN 370108-98-8 CMF C36 H73 N2 O2 . Br

CM 1

Double bond geometry as shown.

L4 ANSWER 3 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

• Br

479200-95-8 CAPLUS 1-Propanaminium, N-(3-aminopropyl)-N,N-dimethyl-2,3-bis[(9Z)-9-octadecenyloxy]-, bromide (9CI) (CA INDEX NAME)

Double bond geometry as shown.

REFERENCE COUNT: THIS

THERE ARE 12 CITED REFERENCES AVAILABLE FOR RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

ANSWER 4 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

• Br-

CM 2

201036-16-0 C45 H90 N O8 P

Absolute stereochemistry.

PAGE 1-B

REFERENCE COUNT: THIS

THERE ARE 56 CITED REFERENCES AVAILABLE FOR 56 RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

```
ANSWER 5 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN
This report characterizes Vaxfectin, a novel cationic and neutral lipid
formulation which enhances antibody responses when complexed with an
antigen-encoding plasmid DNA (pDNA). In mice, i.m. injection of
                rectin formulated with pDNA encoding influenza nucleoprotein (NP) increased antibody titers $ 20-fold, to levels that could not be reached with pDNA alone. As little as 1 µg of pDNA formulated with Vaxfectin per muscle resulted in higher anti-NP titers than that obtained with 25 µg naked pDNA. The antibody titers in animals injected with Vaxfectin-pDNA remained higher than in the naked pDNA controls for at least 9 mo. The enhancement in antibody titers was dependent on the Vaxfectin dose and
                accomplished without diminishing the strong anti-NP cytolytic T cell response typical of pDNA-based vaccines. In rabbits, complexing pDNA
 with
                Vaxfectin enhanced antibody titers \leq 50-fold with needle and syringe injections and also augmented humoral responses when combined
 with
                 a needle-free injection device. Vaxfectin did not facilitate
 transfection
                stection and/or increase synthesis of \beta-galactosidase reporter protein in muscle tissue. ELISFOT assays performed on bone marrow cells from vaccinated mice showed that Vaxfectin produced a 3- to 5-fold increase in the number of NP-specific plasma cells. Thus, Vaxfectin should be a
 useful
useful adjuvant for enhancing pDNA-based vaccinations. ACCESSION NUMBER: 2001:146642 CAPLUS DOCUMENT NUMBER: 135:330213
                                                                               135:330213
Vaxfectin enhances the humoral immune response to plasmid DNA-encoded antigens Hartikka, J.; Bozoukova, V.; Ferrari, M.; Sukhu, L.; Enas, J.; Sawdey, M.; Wloch, M. K.; Tonsky, K.; Norman, J.; Manthorpe, M.; Wheeler, C. J.
Department of Cell Biology, Vical Incorporated, San Diego, CA, 92121, USA
Vaccine (2001), 19(15-16), 1911-1923
CODEN: VACCDE; ISSN 0264-410X
Elsevier Science Ltd.
Journal
 TITLE:
AUTHOR (S):
CORPORATE SOURCE:
SOURCE:
 PUBLISHER:
  DOCUMENT TYPE:
                                                                                Journal
English
  LANGUAGE:
LANGUAGE: English
IT 370108-99-9P, Vaxfectin
R1: BAC (Biological activity or effector, except adverse): BSU
(Biological
study, unclassified): SBN (Synthetic preparation): THU (Therapeutic use):
BIOL (Biological study): PREP (Preparation): USES (Uses)
(Vaxfectin enhances the humoral immune response to plasmid DNA-encoded
                antigens)
370108-99-9
                                                       CAPLUS
                JOUING-79-9 AREDS
1-Propanaminium, N-(3-aminopropyl)-N,N-dimethyl-2,3-bis[(92)-9-tetradecenyloxy)-, bromide, mixt. with (1R)-1-[[([2-aminoethoxy|hydroxyphosphinyl]oxy]methyl]-1,2-ethanediyl bis(3,7,11,15-tetramethylhexadecanoate) (1:1) (9C1) (CA INDEX NAME)
                CM 1
                CRN 370108-98-8
CMF C36 H73 N2 O2 . Br
```

ANSWER 5 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

Double bond geometry as shown.

REFERENCE COUNT: THIS

53 THERE ARE 53 CITED REFERENCES AVAILABLE FOR

RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

ANSWER 5 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

2

CRN 201036-16-0 CMF C45 H90 N O

Absolute stereochemistry

PAGE 1-B

370108-98-89, VC 1052
RL: PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use);
BIOL (Biological study); PREP (Preparation); USES (Uses)
(Vaxfectin enhances the humoral immune response to plasmid DNA-encoded

antigens)
370108-98-8 CAPLUS
1-Propanaminium, N-(3-aminopropyl)-N,N-dimethyl-2,3-bis[(92)-9-tetradecenyloxy]-, bromide (9CI) (CA INDEX NAME)

Double bond geometry as shown.

ANSWER 6 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN A series of 2,3-dialkyloxypropyl quaternary ammonium lipids containing hydroxyalkyl chains on the quaternary amine were synthesized, formulated with dioleoylphosphatidylethanolamine (DOPE) and assayed for their

with dioleoyiphosphactaylocaland ability
to enhance the activity of an intercellular adhesion mol. 1 (ICAM-1)
antisense oligonucleotide, ISIS 1570. Cationic liposomes prepared with
hydroxyethyl, hydroxypropyl, and hydroxybutyl substituted cationic lipid
all enhanced the activity of the ICAM-1 antisense oligonucleotide.
Cationic lipids containing hydroxypentyl quaternary amines only

inally
enhanced the activity of ISIS 1570. Hydroxyethyl cationic lipids
synthesized with dimyristyl (C14:0) and dioleyl (C18:1) alkyl chains were
equally effective. Activity of cationic lipids containing saturated
groups
decreased as the chain length increased, i.e. the dimyristyl (C14:0) was
more effective than dipalmityl (C16:0) lipid, which was more effective
than distearyl (C18:0). The phase transition temperature of cationic

containing saturated aliphatic chains was 56 for the distearyl lipid, 42

the dipalmityl lipid, and 24° for the dimyristyl lipid. Cationic lipids with dioleyl alkyl chains required DOPE for activity, with optimal activity occurring at 50 mol8. In contrast, a dimyristyl containing

onic lipid did not require DOPE to enhance the activity of ISIS 1570. Formulation with different phosphatidylethanolamine derivs., revealed

that

that

optimal activity was obtained with DOPE. These studies demonstrate that
several cationic lipid species enhance the activity of phosphorothioate
antisense oligonucleotides and provide further information on the
mechanism by which cationic lipids enhance the activity of
phosphorothioate oligodeoxynucleotides.

ACCESSION NUMBER: 1998:229461 CAPLUS
DOCUMENT NUMBER: 129:19588

TITLE:

Structural requirements for cationic lipid mediated phosphorothicate oligonucleotides delivery to cells in

Culture
Bennett, C. F.; Mirejovsky, D.; Crooke, R. M.; Tsai,
Y. J.; Felgner, J.; Sridhar, C. N.; Wheeler, C. J.;
Felgner, P. L.
ISIS Pharmaceuticals, Carlsbad, CA, 92008, USA
JOURNAL OF DRUG Targeting (1998), 5(3), 149-162
CODEN: JDTAEH; ISSN: 1061-186X
Harwood Academic Publishers AUTHOR (S):

CORPORATE SOURCE:

PUBLISHER:

DOCUMENT TYPE:

LANGUAGE: English 207602-65-1

RL: BAC (Biological activity or effector, except adverse); BSU (Biological

study, unclassified); PRP (Properties); BIOL (Biological study) (structural requirements for cationic liposome mediated phosphorothicate oligonucleotides delivery to cells) 207602-65-1 CRPLUS zu-no2-65-1 CAPLUS
1-Propanaminium, N-(3-aminopropyl)-N,N-dimethyl-2,3-bis{[(92)-1-oxo-9octadecenyl]oxy]-, bromide (9CI) (CA INDEX NAME)

Double bond geometry as shown.

L4 ANSWER 6 OF 6 CAPLUS COPYRIGHT 2004 ACS on STN (Continu

PAGE 1-A

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PAGE 1-B

__ Me

fil reg SINCE FILE TOTAL COST IN U.S. DOLLARS ENTRY SESSION 185.48 29.85 FULL ESTIMATED COST TOTAL SINCE FILE DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS) SESSION ENTRY -4.16 -4.16 CA SUBSCRIBER PRICE

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STRUCTURE FILE UPDATES: 18 FEB 2004 HIGHEST RN 651705-73-6 DICTIONARY FILE UPDATES: 18 FEB 2004 HIGHEST RN 651705-73-6

TSCA INFORMATION NOW CURRENT THROUGH JULY 14, 2003

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Experimental and calculated property data are now available. For more information enter HELP PROP at an arrow prompt in the file or refer to the file summary sheet on the web at: http://www.cas.org/ONLINE/DBSS/registryss.html

=> d 15

L5 ANSWER 1 OF 1 REGISTRY COPYRIGHT 2004 ACS ON STN
RN 299207-54-8 REGISTRY
CN 1-Propanaminium, N-(2-aminoethyl)-N,N-dimethyl-2,3-bis[(92)-9-tetradecenyloxy]-, bromide (9CI) (CA INDEX NAME)
OTHER NAMES:
COLOR-DEMORIE
FS STEREOSEARCH
MF C35 H71 N2 O2 Br
CA
LC STN Files: CA, CAPLUS, TOXCENTER, USPATFULL

Double bond geometry as shown.

• Br-

- 3 REFERENCES IN FILE CA (1907 TO DATE) 3 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> fil caplus COST IN U.S. DOLLARS SINCE FILE TOTAL ENTRY SESSION 6.62 192.10 FULL ESTIMATED COST DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS) SINCE FILE TOTAL ENTRY SESSION 0.00 -4.16 CA SUBSCRIBER PRICE

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FILE COVERS 1907 - 19 Feb 2004 VOL 140 ISS 8 FILE LAST UPDATED: 18 Feb 2004 (20040218/ED)

This file contains CAS Registry Numbers for easy and accurate substance identification.

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=> s 299207-54-8/rn

3 299207-54-8

0 299207-54-8D

L6 3 299207-54-8/RN

(299207-54-8 (NOTL) 299207-54-8D )
```

=> d 16 abs ibib hitstr

```
AB The present invention relates to pharmaceutical compns. and methods to improve expression of exogenous polypeptides into vertebrate cells in vivo, utilizing delivery of polynucleotides encoding such polypeptides. More particularly, the present invention provides the use of salts, in particular sodium and potassium salts of phosphate, in aqueous solution, and auxiliary agents, in particular detergents and surfactants, in pharmaceutical compns. and methods useful for direct polynucleotide-based polypeptide delivery into the cells of vertebrates.

ACCESSION NUMBER: 2001:798084 CAPLUS
DOCUMENT NUMBER: 135:348865
TITLE: Compositions and methods for in vivo delivery of polynucleotide-based therapeutics
INVENTOR(S): Hartikka, Jukka: Sukhu, Loretta; Manthorpe, Marston PATENT ASSIGNEE(S): Vical Incorporated, USA
SOUNCE: CODEN: PIXXD2
DOCUMENT TYPE: Patent
LANGUAGE: PRIXXD2
POT Int. Appl., 176 pp.

WO 2001080997 A2 20011101 W0 2001-US12975 20010423
W: CA, JP, US
RW: AT, EE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR
US 2002019358 A1 20020214 US 2001-B39574 20010423
R: AT, EE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, CY, TR
PRIORITY APPIN. INFO: US 2000-19823P P 20001928
IT 299207-54-8, Gap-dmorie
RL: MOA (Modifier or additive use); PEP (Physical, engineering or chemical)
process); THU (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses)
(compns. and methods for in vivo delivery of polynucleotide-based therapeuticis)
NN 1-Proparaminium, N-(2-aminoethyl)-N,N-dimethyl-2,3-bis[(92)-9-tetradecenyloxyl-, bromide (9CI) (CA INDEX NAME)
```

Double bond geometry as shown.

L6 ANSWER 1 OF 3 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

● Br

=> => d 16 2-3 abs ibib hitstr

```
ANSWER 2 OF 3 CAPLUS COPYRIGHT 2004 ACS on STN
A method of increasing the strength of the immune response of vector
vaccines using an expression vector for the Flt3 ligand is described.
 L6
AB
 The
                 vaccines are made of independent non-integrating expression vectors: of encodes the antigen or a cytokine and the other encodes the Flt3 ligar. The present invention also provides a method broadly directed to
  improving
                oving immune response of a vertebrate in need of immunotherapy by administering in vivo, into a tissue of a vertebrate, a Fit-3 ligand-encoding polynucleotide and one or more antigen- or cytokine-encoding polynucleotides. The polynucleotides are incorporated into the cells of the vertebrate in vivo, and a prophylactically or therapeutically effective amount of a Fit-3 ligand and one or more antigens is produced
  in
 vivo.
ACCESSION NUMBER:
DOCUMENT NUMBER:
TITLE:
                                                                              2001:101291 CAPLUS 134:161880 CDNAs encoding the Flt-3 receptor ligand and there
  use
                                                                              as adjuvants in vector vaccines
Hermanson, Gary George
Vical Inc., USA
PCT Int. Appl., 148 pp.
CODEN: PIXXD2
 PATENT ASSIGNEE(S):
SOURCE:
  INVENTOR (S):
DOCUMENT TYPE:
LANGUAGE:
                                                                            Patent
English
 FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
PATENT NO. KIND DATE APPLICATION NO. DATE

WO 2001009303 A2 20010208 WO 2000-US20679 20000731
WO 2001009303 A3 20010816
W: CA, JP, US
RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE

PRIORITY APPLN. INFO: US 1999-146170P P 19990730

IT 299207-54-8, GR-DMORIE
RL: THU (Therapeutic use): BIOL (Biological study): USES (Usea)
(in delivery of vector vaccines; CDNAs encoding Flt-3 receptor ligand and there use as adjuvants in vector vaccines)

RN 299207-54-8 CAPLUS

CN 1-Propanaminium, N-(2-aminoethyl)-N,N-dimethyl-2-3-bis/(27)-0
                                                                     KIND DATE
                                                                                                                                     APPLICATION NO. DATE
                 PATENT NO.
                Z9920/-34-8 CAPLUS
1-Propanaminium, N-(2-aminoethyl)-N,N-dimethyl-2,3-bis[(9Z)-9-tetradecenyloxy]-, bromide (9CI) (CA INDEX NAME)
 Double bond geometry as shown.
```

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L6 ANSWER 3 OF 3 CAPLUS COPYRIGHT 2004 ACS on STN

AB The invention provides adjuvants, immunogenic compns., and methods useful for polynuclectide-based vaccination and immune response. In particular, the invention provides an adjuvant of cytofectin:co-lipid mixture wherein cytofectin is GAP-DMORIE.

ACCESSION NUMBER: 2000:707018 CAPLUS
DOCUMENT NUMBER: 133:280556
Adjuvant compositions and methods for enhancing immune responses to polynucleotide-based vaccines
                                                                          responses to polynucleotide-based vaccines Wheeler, Carl J. Vical Incorporated, USA PCT Int. Appl., 72 pp. CODEN: PIXXD2 Patent
 INVENTOR(S):
PATENT ASSIGNEE(S):
SOURCE:
 DOCUMENT TYPE:
LANGUAGE:
 LANGUAGE: Patent English FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION:
               PATENT NO.
                                                    KIND DATE
                                                                                                                                 APPLICATION NO. DATE
WO 2000057917 A2 20001005
WO 2000057917 A3 20010104
W: CA, JP, US
RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,
PT, SE
EP 1165140 A2 20020102 EP 2000-919777 20000324
R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
IE, FI
JP 2002540173 T2 20021126 JP 2000-607666 20000324
US 2003191082 A1 20030701 US 2000-534943 20000324
US 2003191082 A1 20031009 US 2003-391216 20030319
PRIORITY APPLN. INFO.: US 1999-126340P P 19990326
                                                                                                                       JP 2000-607666 20000324

US 2000-534943 20000324

US 1999-126340P P 19990326

US 2000-534943 A3 20000324

WO 2000-US8282 W 20000324

US 2001-937604 A1 20010926
             299207-54-8, GAP-DMORIE
RL: BAC (Biological activity or effector, except adverse); BSU
IT
 (Biological study, unclassified); PEP (Physical, engineering or chemical process);
THU
               (Therapeutic use); BIOL (Biological study); PROC (Process); USES (Uses) (adjuvant compns. containing cytofectin:co-lipid mixts. and methods
for
               enhancing immune responses to polynucleotide-based vaccines) 299207-54-8 CAPLUS
1-Prophaminium, N-(2-aminoethyl)-N,N-dimethyl-2,3-bis[(9Z)-9-tetradecenyloxy]-, bromide (9CI) (CA INDEX NAME)
```

Double bond geometry as shown.

L6 ANSWER 2 OF 3 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

● Br

.6 ANSWER 3 OF 3 CAPLUS COPYRIGHT 2004 ACS on STN (Continued)

• Br

=> logoff y COST IN U.S. DOLLARS

SINCE FILE TOTAL ENTRY SESSION

212.19 FULL ESTIMATED COST 20.09

TOTAL DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS) SINCE FILE SESSION ENTRY

-2.08 -6.24 CA SUBSCRIBER PRICE

STN INTERNATIONAL LOGOFF AT 17:42:56 ON 19 FEB 2004

| L Number | Hits | | DB | Time stamp |
|----------|--------|-------------------------------------|----------|--------------------|
| 1 | 10 | (("5,264,618") or ("5,334,761") or | USPAT; | 2004/02/19 18:28 |
| | | ("5,459,127") or ("5,580,859") or | US-PGPUB | |
| | | ("5,589,466") or ("5,641,665") or | | |
| | | ("5,676,954") or ("5,693,622") or | | |
| | | ("5,703,055") or ("6,147,055") or | | |
| | | ("6,235,310B1")).PN. | | |
| 2 | 1.1 | (("5,264,618") or ("5,334,761") or | USPAT; | 2004/02/19 19:17 |
| 4 | | ("5,459,127") or ("5,580,859") or | US-PGPUB | |
| | ٠, | ("5,589,466") or ("5,641,665") or | | |
| | ŕ | ("5,676,954") or ("5,693,622") or | | |
| | | ("5,703,055") or ("6,147,055") or | | • |
| | | ("6,235,310")).PN. | | |
| 3 | 1 | ("20030091544").PN. | USPAT; | 2004/02/19 18:33 |
| 3 | _ | (20030031344)::!!! | US-PGPUB | 2001, 02, 20 20100 |
| 4 | 1 | ("20030191082").PN. | USPAT: | 2004/02/19 18:43 |
| 4 | 1 | (20030191002).FN. | US-PGPUB | 2001,02,13 10110 |
| r | 2 | (("6586409") or ("6670332")).PN. | USPAT; | 2004/02/19 19:17 |
| 5 | | ((6366409) OI (6670332)).FN. | US-PGPUB | 2004/02/13 13:17 |
| _ | 12 | //UE 264 619U\ on /UE 224 761U\ on | USPAT; | 2004/02/19 19:19 |
| 6 | 12 | | US-PGPUB | 2.004/02/13 13:13 |
| | | ("5,459,127") or ("5,580,859") or | 03 19100 | |
| | | ("5,589,466") or ("5,641,665") or | | |
| | | ("5,676,954") or ("5,693,622") or | | |
| | | ("5,703,055") or ("6,147,055") or | | |
| | | ("6,235,310") or ("6,399,588")).PN. | 110020 | 2004/02/19 19:19 |
| 7 | 459539 | GAP- | USPAT; | 2004/02/19 19:19 |
| | | | US-PGPUB | 2004/02/10 10:20 |
| 9 | 0 | | USPAT; | 2004/02/19 19:20 |
| | | ("5,334,761") or ("5,459,127") or | US-PGPUB | |
| | | ("5,580,859") or ("5,589,466") or | | |
| | | ("5,641,665") or ("5,676,954") or | | |
| | | ("5,693,622") or ("5,703,055") or | | |
| | | ("6,147,055") or ("6,235,310") or | | |
| | | ("6,399,588")).PN.) | | 1 |
| 8 | 4 | GAP-DMORIE | USPAT; | 2004/02/19 19:20 |
| | | | US-PGPUB | |